

Horn Covarieties for Coalgebras

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Outline

I. Infinitary Horn varieties

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- II. Dual theorems for \mathcal{E}_Γ

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Equations in \mathbf{Set}^Γ

Let $\Gamma : \mathbf{Set} \rightarrow \mathbf{Set}$ be a polynomial functor and let $X \in \mathbf{Set}$ be regular projective (means nothing in \mathbf{Set} !).

$$\mathbf{Set} \begin{array}{c} \xrightarrow{F} \\ \perp \\ \xleftarrow{U} \end{array} \mathbf{Set}^\Gamma$$

An *equation* over X is a pair $t_1 =_X t_2$ of elements of $UF X$, the carrier of the free algebra over X .

$$1 \begin{array}{c} \xrightarrow{t_1} \\ \xrightarrow{t_2} \end{array} UF X$$

Equations in Set^Γ

An *equation* over X is a pair $t_1 =_X t_2$ of elements of UFX , the carrier of the free algebra over X .

We say $\langle A, \alpha \rangle \models t_1 =_X t_2$ iff for every $\sigma: X \rightarrow A$, we have $\tilde{\sigma} \circ t_1 = \tilde{\sigma} \circ t_2$.

$$\begin{array}{ccc} 1 & \begin{array}{c} \xrightarrow{t_1} \\ \xrightarrow{t_2} \end{array} & UFX \\ & & \tilde{\sigma} \downarrow \\ & & U\langle A, \alpha \rangle \end{array}$$

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Let $\langle Q, \nu \rangle$ be the coequalizer of the congruence generated by $t_1 =_X t_2$.

$\langle A, \alpha \rangle \models t_1 =_X t_2$ iff for every $\tilde{\sigma} : F X \rightarrow \langle A, \alpha \rangle$, there is a homomorphism $\bar{\sigma}$ making the diagram below commute.

$$\begin{array}{ccccc} F1 & \begin{array}{c} \xrightarrow{\tilde{t}_1} \\ \xRightarrow{\quad} \\ \xrightarrow{\tilde{t}_2} \end{array} & F X & \longrightarrow & \langle Q, \nu \rangle \\ & & \downarrow \tilde{\sigma} & \nearrow \bar{\sigma} & \\ & & \langle A, \alpha \rangle & & \end{array}$$

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$$\text{Hom}(X, A) \cong \text{Hom}(F X, \langle A, \alpha \rangle) \cong \text{Hom}(\langle Q, \nu \rangle, \langle A, \alpha \rangle)$$

Conjunctions of equations

Let S be a set of equations over X , i.e.,
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Write $\langle A, \alpha \rangle \models_X \bigwedge S$ just in case $\langle A, \alpha \rangle \models t_1 =_X t_2$ for
all $t_1 =_X t_2 \in S$.

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$\langle A, \alpha \rangle \models_X \bigwedge S$ iff for every $\tilde{\sigma} : FX \rightarrow \langle A, \alpha \rangle$, there is a homomorphism $\bar{\sigma}$ making the diagram below commute.

$$\begin{array}{ccc} FS \Longrightarrow FX & \longrightarrow & \langle Q, \nu \rangle \\ & \searrow \tilde{\sigma} & \swarrow \bar{\sigma} \\ & \langle A, \alpha \rangle & \end{array}$$

Conjunctions of equations

Let S be a set of equations over X , i.e.,
 $S \subseteq UFX \times UFX$.

Let $\mathbf{V} \subseteq \mathbf{Set}^\Gamma$ and define

$$\text{EqTh}(\mathbf{V}) = \{S \mid \exists \text{ reg. proj. } X . S \subseteq UFX \times UFX, \\ \mathbf{V} \models_X \bigwedge S\}.$$

Implications of equations

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Write $\langle A, \alpha \rangle \models_X \bigwedge S \Rightarrow \bigwedge T$ just in case, for every $\tilde{\sigma} : FX \rightarrow \langle A, \alpha \rangle$, if $\tilde{\sigma}$ coequalizes $S \Longrightarrow UX$, then $\tilde{\sigma}$ also coequalizes $T \Longrightarrow UX$.

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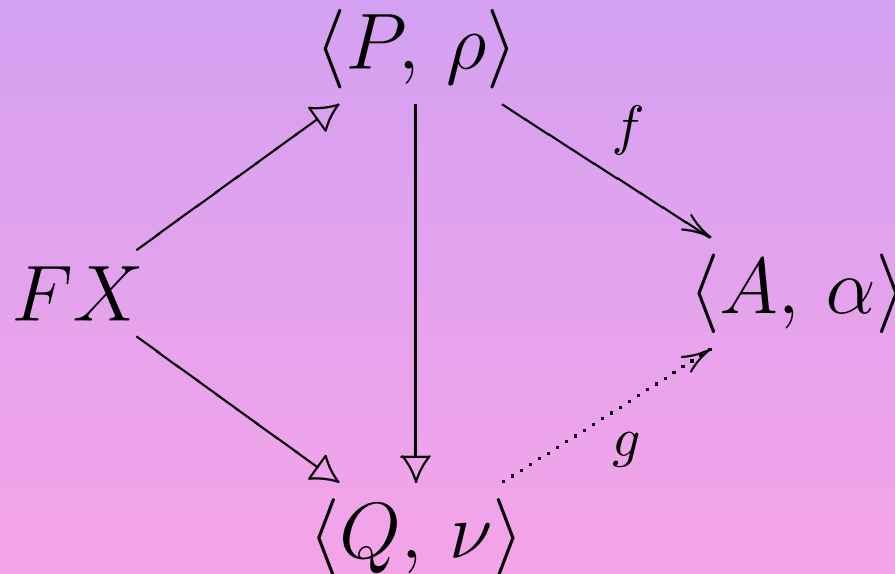
Let $\langle P, \rho \rangle, \langle Q, \nu \rangle$ be the coequalizer of the congruence generated by $S, S \cup T$, resp.

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$\langle A, \alpha \rangle \models_X \bigwedge S \Rightarrow \bigwedge T$ iff for every $f: \langle P, \rho \rangle \rightarrow \langle A, \alpha \rangle$, there is a morphism $g: \langle Q, \nu \rangle \rightarrow \langle A, \alpha \rangle$ making the diagram below commute.



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Let $\langle P, \rho \rangle, \langle Q, \nu \rangle$ be the coequalizer of the congruence generated by $S, S \cup T$, resp.

Equivalently, $\langle A, \alpha \rangle \models \bigwedge S \Rightarrow \bigwedge T$ just in case

$$\text{Hom}(\langle P, \rho \rangle, \langle A, \alpha \rangle) \cong \text{Hom}(\langle Q, \nu \rangle, \langle A, \alpha \rangle).$$

Implications of equations

Let S, T be sets of equations over X .

Define

$$\text{ImpEqTh}(\mathbf{V}) = \{ \langle S, T \rangle \mid \exists \text{ reg. proj. } X .$$

$$S, T \subseteq UFX \times UFX,$$

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Note: $\text{EqTh}(\mathbf{V}) \subseteq \text{ImpEqTh}(\mathbf{V})$, via

$$S \mapsto \bigwedge \emptyset \Rightarrow \bigwedge S.$$

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Let $\langle P, \rho \rangle$ be the coequalizer of the congruence generated by S .

$\langle A, \alpha \rangle \models_X \neg \bigwedge S$ just in case there is no homomorphism $\langle P, \rho \rangle \rightarrow \langle A, \alpha \rangle$, i.e.,

$$\text{Hom}(\langle P, \rho \rangle, \langle A, \alpha \rangle) = \emptyset.$$

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$$\text{HornEqTh}(\mathbf{V}) = \text{ImpEqTh}(\mathbf{V}) \cup$$

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Let $\mathcal{S} \subseteq \text{HornEqTh}$ ($= \text{HornEqTh}(\emptyset)$). Define

$$\text{Sat}(\mathcal{S}) = \{\langle A, \alpha \rangle \in \mathbf{Set}^\Gamma \mid \langle A, \alpha \rangle \models \mathcal{S}\}.$$

The H, S, P, P^+ operators

We define the following operators

$$\text{SubCat}(\mathbf{Set}^\Gamma) \longrightarrow \text{SubCat}(\mathbf{Set}^\Gamma).$$

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$$\langle B, \beta \rangle \cong \prod \langle A_i, \alpha_i \rangle \}$$

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The variety theorems

Let Γ be polynomial and $\mathbf{V} \subseteq \mathbf{Set}^\Gamma$.

Theorem (Birkhoff variety theorem).

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Theorem (Horn variety theorem).

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Closure operators for coalgebras

Recall the algebra operators.

$$H\mathbf{V} = \{ \langle B, \beta \rangle \in \mathcal{E}^\Gamma \mid \exists \mathbf{V} \ni \langle C, \gamma \rangle \longrightarrow \langle B, \beta \rangle \}$$

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Closure operators for coalgebras

Each algebra operator yields a coalgebra operator.

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$$H\mathbf{V} = \{\langle B, \beta \rangle \in \mathcal{E}_\Gamma \mid \exists \langle B, \beta \rangle \longleftarrow \langle C, \gamma \rangle \in \mathbf{V}\}$$

$$\Sigma\mathbf{V} = \{\langle B, \beta \rangle \in \mathcal{E}_\Gamma \mid \exists \{\langle A_i, \alpha_i \rangle\}_{i \in I} \subseteq \mathbf{V}.$$

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Dualizing equations

Consider again equations in Set^Γ . We consider the mapping

$$\{S \twoheadrightarrow UFX \times UFX\} \rightarrow \{FX \twoheadrightarrow \langle Q, \nu \rangle\},$$

and dualize the notion of sets of equations by dualizing quotients of free algebras.

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Return to \mathcal{E}_Γ . Let \mathcal{E}, Γ be good (co-good?) and let H be the right adjoint to $U: \mathcal{E}_\Gamma \rightarrow \mathcal{E}$, with counit $\varepsilon: UH \rightarrow 1$.

Dualizing equations

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Reminder: Let $C \in \mathcal{E}$ and $\langle A, \alpha \rangle \in \mathcal{E}_\Gamma$. For any C -coloring $p: A \rightarrow C$ of A , there exists a unique homomorphism $\tilde{p}: \langle A, \alpha \rangle \rightarrow HC$ making the diagram below commute.

$$\begin{array}{ccc} & & UHC \\ & \nearrow^{U\tilde{p}} & \downarrow \varepsilon_C \\ A & \xrightarrow{p} & C \end{array}$$

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A *coequation* over C is a regular subobject $\varphi \leq UHC$.

Dualizing equations

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A *coequation* over C is a regular subobject $\varphi \leq UHC$.

We write $\langle A, \alpha \rangle \models_C \varphi$ iff for every coloring $p: A \rightarrow C$ of A , the adjoint transpose $U\tilde{p}$ factors through φ .

$$\begin{array}{ccc} A & & \\ p \downarrow & \searrow^{U\tilde{p}} & \\ C & \xleftarrow{\varepsilon_C} UHC & \xleftarrow{\quad} \varphi \end{array}$$

Dualizing equations

Return to \mathcal{E}_Γ . Let \mathcal{E}, Γ be good and let H be the right adjoint to $U: \mathcal{E}_\Gamma \rightarrow \mathcal{E}$, with counit $\varepsilon: UH \rightarrow 1$.

A *coequation* over C is a regular subobject $\varphi \leq UHC$.

We write $\langle A, \alpha \rangle \models_C \varphi$ iff for every coloring $p: A \rightarrow C$ of A , $\text{Im}(U\tilde{p}) \leq \varphi$.

$$\begin{array}{ccccc}
 A & & & & \\
 \downarrow p & \searrow U\tilde{p} & & \dashrightarrow & \\
 C & \xleftarrow{\varepsilon_C} & UHC & \xleftrightarrow{\quad} & \varphi
 \end{array}$$

In other words,

$$\text{Hom}(A, C) \cong \text{Hom}(\langle A, \alpha \rangle, HC) \cong \text{Hom}(\langle A, \alpha \rangle, \square\varphi).$$

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$$\begin{array}{ccccc} A & & & & \\ \downarrow p & \searrow U\tilde{p} & & \cdots & \\ C & & UHC & \longleftrightarrow & \varphi \\ & \xleftarrow{\varepsilon_C} & & & \end{array}$$

$\langle A, \alpha \rangle \models_C \varphi$ just in case, however we paint the elements of A , they “look like” elements of φ .

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We view coequations φ as predicates over UHC .

$\langle A, \alpha \rangle \models_C \varphi$ iff, for every $p: A \rightarrow C$, we have

$$\text{Im}(U\tilde{p}) \vdash \varphi.$$

Conditional coequations

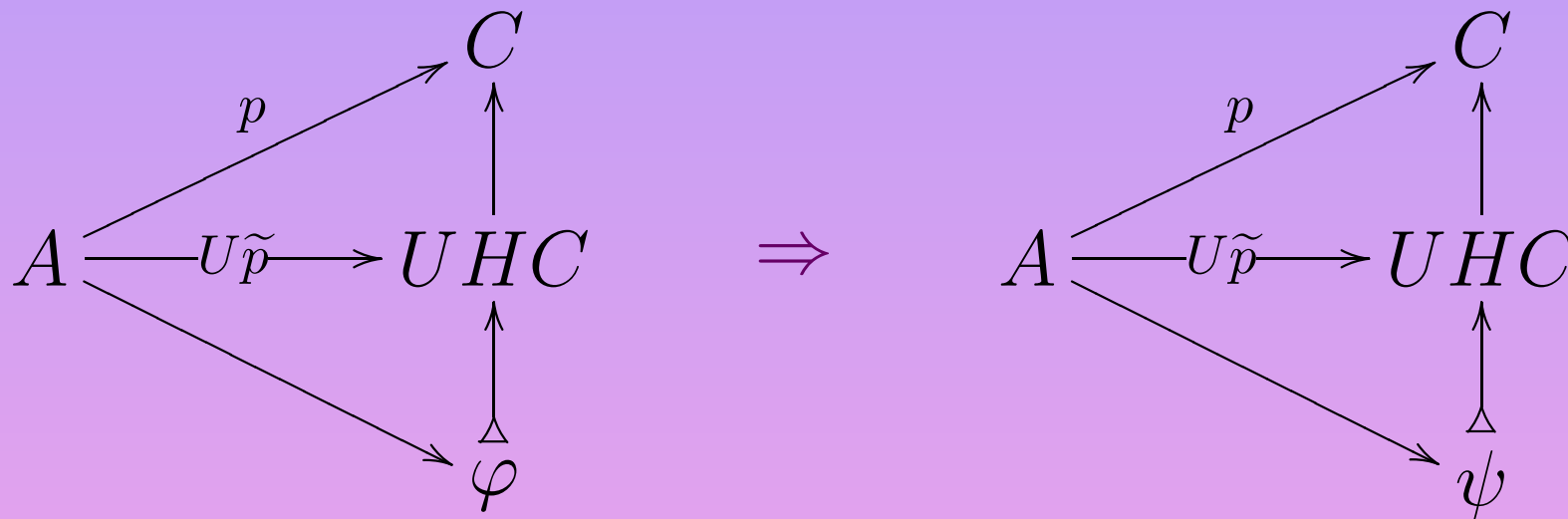
Let $\varphi, \psi \leq UHC$.

We write $\langle A, \alpha \rangle \models \varphi \Rightarrow \psi$ just in case, for every $p: A \rightarrow C$ such that $\text{Im}(\tilde{p}) \leq \varphi$, we have $\text{Im}(\tilde{p}) \leq \psi$.

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Let $\varphi, \psi \leq UHC$.

We write $\langle A, \alpha \rangle \models \varphi \Rightarrow \psi$ just in case, for every $p: A \rightarrow C$ such that $\text{Im}(\tilde{p}) \leq \varphi$, we have $\text{Im}(\tilde{p}) \leq \psi$.



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$\langle A, \alpha \rangle \models \varphi \Rightarrow \psi$ just in case every homomorphism $\langle A, \alpha \rangle \rightarrow \square \varphi$ factors through $\square \psi$, i.e.,

$$\text{Hom}(\langle A, \alpha \rangle, \square \varphi) \cong \text{Hom}(\langle A, \alpha \rangle, \square \psi).$$

Dualizing negations

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No matter how we paint A , there is some element $a \in A$ that doesn't land in φ .

Note: This does **not** mean that $\langle A, \alpha \rangle \models \neg\varphi$! “Something in A does not land in φ ,” is not the same as, “Everything in A does not land in φ .”

A few more things...

Let $\mathbf{V} \subseteq \mathcal{E}_\Gamma$.

$$\text{CoeqTh}(\mathbf{V}) = \{\varphi \mid \exists \text{ reg. inj. } C . \varphi \leq UHC, \\ \mathbf{V} \models_C \varphi\}$$

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Let $\mathcal{S} \subseteq \text{HornCoeqTh}$. Define

$$\text{Sat}(\mathcal{S}) = \{\langle A, \alpha \rangle \in \mathcal{E}_\Gamma \mid \langle A, \alpha \rangle \models \mathcal{S}\}.$$

The covariety theorems

Let \mathcal{E}, Γ be good and $\mathbf{V} \subseteq \mathcal{E}$.

Theorem (Birkhoff covariety theorem).

$$\text{Sat}(\text{CoeqTh } \mathbf{V}) = SH\Sigma\mathbf{V}$$

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Some simple examples

Fix a set Z and consider $\Gamma : \mathbf{Set} \rightarrow \mathbf{Set}$ where
 $\Gamma X = Z \times X$.

Regard a Γ -coalgebra $\langle A, \alpha \rangle$ as a set of streams over Z
and let

$$h_\alpha : A \longrightarrow Z$$

$$t_\alpha : A \longrightarrow A$$

denote the evident head and tail operations.

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- $\{\langle A, \alpha \rangle \in \mathbf{Set}_\Gamma \mid A \neq \emptyset \text{ and } \forall a \in A \exists n \in \mathbb{N} . t_\alpha^n(a) = t_\alpha^{n+1}(a)\}$.

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- $\{\langle A, \alpha \rangle \in \mathbf{Set}_\Gamma \mid A \neq \emptyset \text{ and } \forall a \in A \exists n \in \mathbb{N} \forall m > n . h_\alpha \circ t_\alpha^n(a) = h_\alpha \circ t_\alpha^m(a)\}$.

Deterministic automata and languages

Fix an alphabet \mathcal{I} . Let

$$\Gamma : \mathbf{Set} \longrightarrow \mathbf{Set}$$

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A Γ -coalgebra $\langle A, \alpha \rangle$ is an automaton accepting input from \mathcal{I} and outputting either 0 or 1, where

$$\text{out}_{\alpha}(a) = \pi_1 \circ \alpha(a)$$

$$\text{trans}_{\alpha}(a) = \pi_2 \circ \alpha(a)$$

Deterministic automata and languages

Let $\sigma \in \mathcal{I}^{<\omega}$ and define

$$\text{eval}_\alpha : A \times \mathcal{I}^{<\omega} \longrightarrow A$$

by

$$\text{eval}_\alpha(a, ()) = a,$$

$$\text{eval}_\alpha(a, \sigma * i) = \text{trans}_\alpha(\text{eval}_\alpha(a, \sigma))(i).$$

$\text{eval}_\alpha(a, \sigma)$ is the final state of the calculation beginning in a with input σ .

Deterministic automata and languages

Define

$$\text{acc}_\alpha : A \longrightarrow \mathcal{P}(\mathcal{I}^{<\omega})$$

by

$$\text{acc}_\alpha(a) = \{\sigma \in \mathcal{I}^{<\omega} \mid \text{out}_\alpha \circ \text{eval}_\alpha(a, \sigma) = 1\}.$$

$\text{acc}_\alpha(a)$ is the set of all words accepted by state a .

Deterministic automata and languages

Fix a “language” $\mathcal{L} \subseteq \mathcal{I}^{<\omega}$ and define

$$\mathbf{V}_{\mathcal{L}} = \{ \langle A, \alpha \rangle \in \mathbf{Set}_{\Gamma} \mid \exists a \in A. \text{acc}_{\alpha}(a) = \mathcal{L} \}.$$

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$\mathbf{V}_{\mathcal{L}}$ is a Horn covariety.

Explicitly: the class of all automata which have an initial state accepting exactly \mathcal{L} is closed under codomains of epis and non-empty coproducts. Furthermore, $\mathbf{V}_{\mathcal{L}}$ is definable by a Horn coequation.

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$\mathbf{V}_{\mathcal{L}}$ is a Horn covariety.

Indeed, let $\varphi \leq UH1$ be the set

$$\{ c \in UH1 \mid \text{acc}_{H1}(c) \neq \mathcal{L} \}.$$

Then $\langle A, \alpha \rangle \in \mathbf{V}_{\mathcal{L}}$ just in case

$$\text{Hom}(\langle A, \alpha \rangle, \Box\varphi) = \emptyset.$$

More automata

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1. Deterministic automata which have an accepting state for \mathcal{L} .

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4. Etc. and so on.

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Cofree for $H\Sigma^+ \mathbf{V}$ coalgebras

Let $\mathbf{V} \subseteq \mathcal{E}_\Gamma$, $C \in \mathcal{E}$. Define

$$\Theta C = \{f : \langle A, \alpha \rangle \longrightarrow HC \mid \langle A, \alpha \rangle \in \mathbf{V}\},$$

$$\Delta C = \bigvee \{\text{Im } f \mid f \in \Theta C\}.$$

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- $\Delta C \in H\Sigma^+V$;
- If $\langle B, \beta \rangle \in H\Sigma^+V$, then for every $p: B \rightarrow C$, there is a unique homomorphism $\tilde{p}: \langle B, \beta \rangle \rightarrow \Delta C$ such that the diagram below commutes.

$$\begin{array}{ccccc} & & & & B \\ & & & \tilde{p} & \downarrow p \\ & & & \swarrow & \\ U\Delta C & \xrightarrow{\quad} & UHC & \xrightarrow{\varepsilon_C} & C \end{array}$$

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If $\mathcal{E} = \mathbf{Set}$ (or any category in which each $C \neq 0$ has a global element) and $V \neq 0$, then every $C \neq 0$ has a cofree for $H\Sigma^+V$ coalgebra.

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In technical terms, we have *damn near an adjunction*.
Indeed, it arises as the composition of an adjunction and *damn near a regular mono-coreflection*.

$$\mathcal{E} \begin{array}{c} \xrightarrow{H} \\ \xleftarrow{U} \end{array} \mathcal{E}_\Gamma \rightleftarrows \mathbf{V}$$

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Behavioral classes

Consider the following operators.

$$R\mathbf{V} = \{ \langle B, \beta \rangle \in \mathcal{E}_\Gamma \mid \exists \langle B, \beta \rangle \longrightarrow \langle A, \alpha \rangle \in \mathbf{V} \}$$

Behavioral classes

Consider the following operators.

$$RV = \{ \langle B, \beta \rangle \in \mathcal{E}_\Gamma \mid \exists \langle B, \beta \rangle \longrightarrow \langle A, \alpha \rangle \in \mathbf{V} \}$$

$$BV = \{ \langle B, \beta \rangle \in \mathcal{E}_\Gamma \mid \exists \text{ bisimulation}$$

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$$RHV = BBV = QQV.$$

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If, in \mathcal{E} , epis are stable under pullback, then also

$$RHV = BV = QV.$$

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$$\text{Sat}(\text{CoeqTh}_{H_1} \mathbf{V}) = RSH\Sigma\mathbf{V}$$

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Here, $\text{CoeqTh}_{H_1} \mathbf{V}$ ($\text{ImpCoeqTh}_{H_1} \mathbf{V}$, $\text{HornCoeqTh}_{H_1} \mathbf{V}$, resp.) denotes the (conditional, Horn, resp.) coequations over 1 color satisfied by \mathbf{V} .

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- Generic other stuff that won’t fit in the margin

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